

4-16-01

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PTO/SB/05 (11-00)

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04/12/01
J1031 U.S. PTO

04/12/01
Jc921 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005029.P018C

First Named Inventor Hartley et al.

Title: Method and Apparatus for Assessing the Security of a Computer System

Express Mail Label No. EL845313456US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 32)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 15)
5. Oath or Declaration (Total Pages 4)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

EXPRESS MAIL CERTIFICATE OF MAILING

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I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

April 12, 2001

Date of Deposit

April Norvey

Alpha One Mailing Co., Inc. Service

April Norvey

Signature

Date

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Express Mail Certificate

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 09/333,547 Examiner Miller, C. Group Art Unit 2857

(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that DMW Worldwide, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. 010393 Frame No. 0046 (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Gordon R. Lindeen III

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

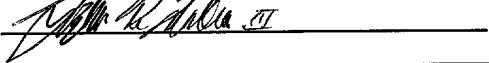
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Signature:  Date: 4/12/11

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for ex parte reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
			Petition to institute a public use proceeding
			Petition to revive – unavoidable
			Petition to revive - unintentional
			Utility issue fee (or reissue)
			Design issue fee
			Plant issue fee
			Petitions to the Commissioner
			Petitions related to provisional applications
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined (see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application
Other fee (specify) _____			
Other fee (specify) _____			

SUBTOTAL (3) \$0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Gordon R. Lindeen III

Signature:  Date: 4/12/11

Reg. Number: 33,192 Telephone Number: 303-740-1980

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jc921 U.S. PTO
09/84334
04/12/01

Serial/Patent No.: _____ Filing/Issue Date Concurrently Herewith

Client: Mundorf USA

Title: Method and Apparatus for Assessing the Security of a Computer System

BSPZ File No.: 055029. P018C

Atty/Secty Initials: SC/AMW

Date Mailed: April 12, 2001

Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.) Express Mail No. EL845313456US Check No. 1407
 Appeal Brief (____ pgs.) (in triplicate) Month(s) Extension of Time Amt: 710.
 Application - Utility (____ pgs., with cover and abstract) Information Disclosure Statement & PTO-144 (____ pgs.) Check No. _____
 Application - Rule 1.53(b) Continuation (32 pgs.) Issue Fee Transmittal Amt: _____
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal Petition for Extension of Time
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for _____
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Postcard
 Application - Design (____ pgs.) Power of Attorney (____ pgs.)
 Application - PCT (____ pgs.) Preliminary Amendment (____ pgs.)
 Application - Provisional (____ pgs.) Reply Brief (____ pgs.)
 Assignment and Cover Sheet Response to Notice of Missing Parts
 Certificate of Mailing Small Entity Declaration for Indep. Inventor/Small Business
 Declaration & POA (4 pgs.) COPY Transmittal Letter, in duplicate
 Disclosure Docs & Oig & Copy of Inventor Signed Letter (____ pgs.) Fee Transmittal, in duplicate
 Drawings: 15 # of sheets includes 15 figures

Other: Associate Power of Attorney

EL845313456US

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Date of Deposit: April 12, 2001

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Shelley Warley
(Typed or printed name of person mailing paper or fee)

Shelley Warley
(Signature of person mailing paper or fee)

4/12/01
(Date signed)